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Attorney Docket No. 05014/LH

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant : Kaoru SOMEYA  
Serial No. : 10/521,618  
Filed : January 14, 2005  
For : RADIO WAVE RECEPTION  
DEVICE AND RADIO WAVE  
CLOCK  
Customer No.: 01933  
Art Unit : 2681  
Examiner : Not Yet Assigned  
Confirm. No.: 2629

**INFORMATION DISCLOSURE STATEMENT  
WITH STATEMENT UNDER 37 CFR 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

Submitted herewith are the following:

- (1) Copy of a Japanese Office Action dated July 11, 2006 (and English translation thereof) issued in counterpart Japanese Patent Application No. 2002-233512, the priority of which is claimed.
- (2) Copy of a Japanese Office Action dated July 11, 2006 (and English translation thereof) issued in counterpart Japanese Patent Application No. 2002-245460, the priority of which is also claimed.
- (3) Copies of cited publications (except U.S. patents and patent publications); and
- (4) Form PTO/SB/08A.

09/25/2006 MBINAS 00000012 10521618

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English translations of said Japanese Office Actions are provided, thereby satisfying the requirements for a concise

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NO. 9508 P. 2

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explanation of relevance for any non-English language publications cited therein (MPEP 609.04(a) III).

SEP 22 2006

English language Abstracts are provided for each of the cited documents.

**STATEMENT UNDER 37 CFR 1.97(e)(1)**

Each item of information contained in this Information Disclosure Statement was first cited in any communication from foreign patent offices in counterpart foreign applications not more than three months prior to the filing of the present Information Disclosure Statement. Said Communications bear a mailing date of July 11, 2006. Therefore, the filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(e) and does not require a fee.

It is requested that an initialed copy of the Form PTO/SB/08A be returned to indicate that the publications listed therein have been considered and made of record.

Respectfully submitted,

Leonard Holtz, Esq.  
Reg. No. 22,974

Encls.

Dated: September 22, 2006

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PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/521,618
				Filing Date	January 14, 2005
				First Named Inventor	Kaoru SOMEYA
				Group Art Unit	2681
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	05014/LH

**U.S. PATENT DOCUMENTS**

Exam. Units*	Cite No <sup>2</sup>	Document Number	Kind Code <sup>2</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion
		6,370,365	B1	CALLAWAY, Jr., et al	04-09-2002	

**FOREIGN PATENT DOCUMENTS**

Exam. Units*	Cite No <sup>2</sup>	Offc <sup>3</sup>	Document Number <sup>4</sup>	Kind Code <sup>5</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T <sup>6</sup>
		JP	05-300044	A	NEC CORP.	11-12-1993		x
		JP	06-152665	A	MATSUSHITA ELECTRIC IND CO LTD	05-31-1994		x
		JP	10-200488	A	MITSUBISHI ELECTRIC CORP	07-31-1998		x
		JP	11-088219	A	MATSUSHITA ELECTRIC IND CO LTD.	03-30-1999		x

Examiner Signature

Date Considered

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check here if English translation is attached.DATE MAILED: September 22, 2006

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Attorney Docket No. 04363/LH

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): Fumio KIDA et al

Serial No. : 10/866,154

Filed : June 10, 2004

For : CHIP-TYPE SOLID  
ELECTROLYTIC CAPACITOR  
AND METHOD OF PRODUCING  
THE SAME

Customer No.: 01933

Art Unit : 2823

Examiner : Thanh V. Pham

Confirm. No.: 3296

**RESUBMISSION OF INFORMATION  
DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97(d) WITH STATEMENT  
UNDER 37 CFR 1.97(e) AND FEE (\$1.17(p))**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

**Resubmitted** herewith is the attached redacted Information Disclosure Statement (17 pages), which was previously filed in the USPTO on September 18, 2006, along with a credit card payment Form 2038 in the amount of \$180.00. These documents were originally submitted via facsimile on September 18, 2006.

Please see the attached REPLY FACSIMILE TRANSMISSION sheets indicating that pages 1-3 including the credit card payment form authorizing a fee of \$180.00 had reached the USPTO.

CERTIFICATE OF FACSIMILE  
TRANSMISSION  
TO NO. 1-571-273-8300

TOTAL PAGES: **22**

I hereby certify that this paper is being facsimile transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date noted below.



Diane J. Hegstrom

Dated: September 22, 2006

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by credit card payment, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

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NO. 9509 P. 2/22

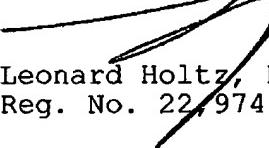
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**SEP 22 2006**

We checked the PAIR system on September 21, 2006, and some of the pages of the IDS filed September 18, 2006 appear to be missing. Therefore, we are resubmitting the complete IDS.

To the extent not tendered by the previous credit card payment of September 18, 2006, authorization is given to charge any additional fees in connection with this Paper to Account No. 06-1378.

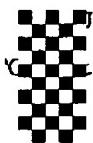
Respectfully submitted,

  
Leonard Holtz, Esq.  
Reg. No. 22,974

Encls.

Dated: September 22, 2006  
FRISHAUF HOLTZ GOODMAN & CHICK, P.C.  
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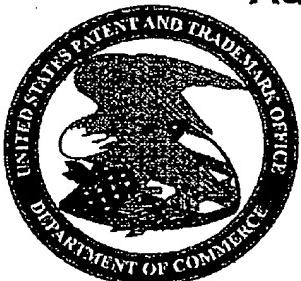


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SEP 18 2006 3:37PM +1-212-319-5101 customer 01933		AC 5195 P. 1
<p>Attorney Docket No. <u>04363/JW</u></p> <p>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</p> <p>Applicant(s): <u>Fumio KIDA et al</u></p> <p>Serial No. : <u>10/866,194</u></p> <p>Filed : <u>June 10, 2004</u></p> <p>For : <u>CHIP-TYPE SOLID ELECTROLYTIC CAPACITOR AND METHOD OF PRODUCING THE SAME</u></p> <p>Customer No.: <u>01933</u></p> <p>Art Unit : <u>2023</u></p> <p>Examiner : <u>Thanh V. Pham</u></p> <p>Confirm. No.: <u>3296</u></p> <p><b>INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(d) WITH STATEMENT UNDER 37 CFR 1.97(e) AND FEE (§1.17(p))</b></p> <p>Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>SIR :</p> <p>Submitted herewith are the following:</p> <p>(1) Copy of a Korean Office Action (and English translation thereof) dated July 24, 2006, issued in a counterpart Korean application;</p> <p>(2) Copy of the cited publication;</p> <p>(3) Form PTO/SB/08a; and</p> <p>(4) Form 2038 to cover the USPTO fee of \$180.00 as set forth under 37 CFR 1.17(p) for filing an IDS at this stage of prosecution (after allowance and before payment of the issue fee).</p>		
PAGE 63/81 * RCVD AT 9/22/2006 12:33:39 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-2/11 * DNIS:2738300 * CSID:+1 212 319 5101 * DURATION (mm:ss):38-22		



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**Fax Information**  
**Date Received:** 9/18/2006 3:45:51 PM [Eastern Daylight Time]  
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 접수번호: 8-5-2003-04178520  
 접수일자: 2006.07.24.  
 제출기한: 2006.08.24  
 수신 서초시 강구 서소문로 41-8, 대관광공원동  
 3층(남현드날국제화재보험사무소)  
 남성선  
 100-818  
 ...

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**독 허 정**  
**의견제출통지서**

발	신	인	업	장	면	이	시	도	전	부	서	기	사	회	1	임	(총점인코드:							
																		510600024400)						
대	리	인	업	주	소	일	본	마	아	경	다	아	하	구	고	리	이	야	6	층	에	7	-	
출	연	번	호	10-2004-004408																				
발	명	의	명	전	직	장	경	고	재	전	해	장	장	장	장	장	장	장	장	장	장	장	장	장

이 출현에 대한 심사결과 아래와 같은 기준에 부합은 그 출현성이 아 일정이 예상되는 가정문제에서 출연의 특허법률구법위 경향에 기여한 법률은 그 출연성이 아 일정이 예상되는 가정문제에서 출연의 특허법률구법위 경향에 기여한 것으로 의하여 예상하게 발생할 수 있는 것이므로 특허법 제29조제2항의 규정에 의하여 특허법률구법위 법률을 부과할 수 없습니다.

이 출연은 특허법률구법위 제\_일의 기재가 아래와 지적한 내용과 같이 특별히 목적법 제42조 제4항제2호의 규정에 의한 요건을 충족하지 못함으로 특허법률구법위 부과할 수 없습니다.

**[이미]**

- 본위원회는 침해 고지전송금 커버시티 및 그 저작법에 관한 것으로, 캐스드와 캐스트 디자이너가 접속되는 캐스드 디자 무분에 브루 은이나, 찾아웃중 정공하여 접속강도를 증가시키는 것을 주로 배포하고 있으며, 이러한 것은 절부된 의용법적인 민족문화특례법 0191750에서 명도해 한정 베이스와 서비스를 브로드 일부분에 영어 학습과 배우고자 하는 학생들을 위한 영어 드립에 종전화에 접속함으로서 외국어는 활동시키는 공지의 기술을 단순 이용한 것이다. 예상한 것에, 이러한 공지의 기술을 단순 이용하는 것은 이 법이어서 특별한 기관을 자진 자리인 만증발행으로부터 들어와서는 특별한 영업을 할수할 수 있는 것입니다.

2. 본구형5에는 영어로는 들어와서 “일/또는”을 사용하고 있으며, 활구형7은 “영어, 사람 또는 다른법”을 선택적으로 사용하고 있지 않습니다.

Attorney Docket No. 04363/LH

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): Fumio KIDA et al

Serial No. : 10/866,154

Filed : June 10, 2004

For : CHIP-TYPE SOLID  
ELECTROLYTIC CAPACITOR  
AND METHOD OF PRODUCING  
THE SAME

Customer No.: 01933

Art Unit : 2823

Examiner : Thanh V. Pham

Confirm. No.: 3296

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97(d) WITH STATEMENT  
UNDER 37 CFR 1.97(e) AND FEE (\$1.17(p))**

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P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

Submitted herewith are the following:

- (1) Copy of a Korean Office Action (and English translation thereof) dated July 24, 2006, issued in a counterpart Korean application;
- (2) Copy of the cited publication;
- (3) Form PTO/SB/08A; and
- (4) Form 2038 to cover the USPTO fee of \$180.00 as set forth under 37 CFR 1.17(p) for filing an IDS at this stage of prosecution (after allowance and before payment of the Issue Fee).

**CERTIFICATE OF FACSIMILE  
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TO NO. 1-571-273-8300**

**TOTAL PAGES: 17**

I hereby certify that this paper is being facsimile transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date noted below.



Diane J. Hegstrom

Dated: September 22, 2006

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by credit card payment, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

Application No. 10/866,154  
Response to Office Action

Customer No. 01933

Authorization is given to charge any additional fees which may be required, or to credit any overpayment, to Account No. 06-1378.

An English translation of the Korean Office Action is provided, thereby satisfying the requirement for a concise explanation of relevance for any non-English language publications cited therein : (MPEP 609.04(a)III).

**STATEMENT UNDER 37 CFR 1.97(e)(1)**

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Respectfully submitted,

Leonard Holtz, Esq.  
Reg. No. 22,974

Encls.

Dated: September 22, 2006  
FRISHAUF HOLTZ GOODMAN & CHICK, P.C.  
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Tel. No. (212) 319-4900  
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PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number		10/866,154	
		Filing Date		June 10, 2004	
		First Named Inventor		Fumio KIDA	
		Group Art Unit		2823	
		Examiner Name		Thanh V. PHAM	
Sheet	1	of	1	Attorney Docket Number	04363/LH

**U.S. PATENT DOCUMENTS**

Exam. Inits*	Cite No <sup>1</sup>	Document Number		Kind Code <sup>2</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion

**FOREIGN PATENT DOCUMENTS**

Exam. Inits*	Cite No <sup>1</sup>	Offc <sup>3</sup>	Document Number <sup>4</sup>	Kind Code <sup>5</sup>	Name of Patentee or Applicant	Publication Date MM-DD-YYYY	Relevant Portion	T <sup>6</sup>
		KR	10-0191759		TOSHIBA CORPORATION	06-15-1999		

Examiner Signature	Date Considered
--------------------	-----------------

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check here if English translation is attached.

DATE MAILED: September 22, 2006